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CASE CLV-31563P1

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Jennifer China
Type or print name

Signature

November 11, 2003
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF
QIU ET AL.

APPLICATION NO: 09/911,218

FILED: JULY 23, 2001

FOR: PROCESS FOR SURFACE MODIFYING SUBSTRATES AND
MODIFIED SUBSTRATES RESULTING THEREFROM

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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FEE LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Please charge Deposit Account No. 19-0134 in the name of Novartis in the amount of \$180 for payment of the fee pursuant to 37 CFR §1.17(p) for the submission of an Information Disclosure Statement under 37 CFR §1.97(c).

An additional copy of this paper is here enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 19-0134 in the name of Novartis.

Respectfully submitted,

Jian Zhou
Agent for Applicants
Reg. No. 41,422

Novartis Pharmaceuticals Corporation
Patent and Trademark Dept.
One Health Plaza
East Hanover, NJ 07936-1080
(678) 415-4691
Date: November 11, 2003



CASE CL/V-31563P1

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §1.97(c). A letter for payment of fee set forth in 37 C.F.R. §1.17(p) is enclosed.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

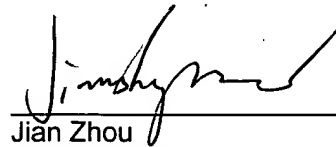
Copies of these references are enclosed herewith.

11/18/2003 ZJUHAR1 00000149 190134 09911218

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The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Jian Zhou', is written over a horizontal line.

Jian Zhou
Agent for Applicants
Reg. No. 41,422

Novartis Pharmaceuticals Corporation
Patent and Trademark Dept.
One Health Plaza
East Hanover, NJ 07936-1080
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Date: November 11, 2003

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.
CLV-31563P1
APPLICATION NO.
09/911,218
APPLICANT
QIU ET AL.
FILING DATE
JULY 23, 2001

Group



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	US 6, 020,175	2/1/00	Onda et al	435/	180	9/10/97
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM						<input type="checkbox"/>	<input type="checkbox"/>
	AN						<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

AR	Patterned Polymer Multilayer Fabrication By Controlled Adhesion Of Polyelectrolytes To Plasma-Modified Fluoropolymer Surfaces By Vargo, Calvert, Wynne, Avlyanov, MacDiarmid, & Rubner 1966.
AS	Molecular Self Assembly of Conducting Polymers: A New Layer-By-Layer Thin Film Deposition Process. By Cheung, Fou, Ferrira, & Rubner. MIT
AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.